

3731



PATENT

Atty. Docket: 1390-9 CON (F-146B)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: James D. Ralph et al.

Examiner: D. Reip

Serial No.: 09/992,612

Group: Art Unit 3731

Filed: November 13, 2001

Dated: September 30, 2003

For: POLYAXIAL PEDICLE SCREW HAVING A THREADED AND  
TAPERED COMPRESSION LOCKING MECHANISM

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OCT 08 2003

TECHNOLOGY CENTER R3700

**RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY**

Sir:

In response to the Notice Regarding Power of Attorney mailed September 9, 2003, attached hereto is the required Statement Under 37 C.F.R. 3.73(b), along with a copy of the Notice Regarding Power of Attorney.

Respectfully submitted,

*David M. Carter*

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Registration No.

Attorney for Applicant(s)

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 30, 2003.

Dated: September 30, 2003

*David M. Carter*  
David M. Carter

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: James D. Ralph and Stephen TatarApplication No./Patent No.: 5,882,350Filed/Issue Date: March 16, 1999Entitled: POLYAXIAL PEDICLE SCREW HAVING A THREADED AND TAPERED COMPRESSION LOCKING MECHANISMFastenetix, LLC, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8923, Frame 0208, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 30, 2003

Date

David M. Carter

Typed or printed name

David M. Carter

Signature

Attorney for Assignee

Title



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/992,612	11/13/2001	James D. Ralph	337562000901

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CONFIRMATION NO. 1151



\*OC000000010842305\*

Date Mailed: 09/09/2003

## NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 08/27/2003 . The Power of Attorney in this application is not accepted for the reason(s) listed below:

- The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.

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